# DRAFT CMS Physics Analysis Summary

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# Search for scalar top quark pair production in the dilepton final state at $\sqrt{s} = 8$ TeV with the CMS detector

The CMS Collaboration

# Abstract

We report the results of a search for a scalar partner of the top quark (stop,  $\tilde{t}$ ) using the full dataset of pp collisions at  $\sqrt{s} = 8$  TeV collected by the CMS detector. We use a sample of opposite-sign dilepton events (ee,  $\mu\mu$ ,  $e\mu$ ) with at least two jets including at least one *b*-tagged jet to perform the search. The "stransverse mass" ( $M_{T2}$ ) of the dilepton system with respect to the missing transverse energy is used to separate the stop signal from the dominant  $t\bar{t}$  background. We see no excess of events above the standard model background. The results are interpreted in terms of several simplified models (SMS) which represent possible decays of the stop in *R*-parity conserving "natural" supersymmetric models where the stop is not the lightest supersymmetric particle.

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# 1 Introduction

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Supersymmetry (SUSY) is an extension of the Standard Model (SM) which provides a solution to the heirarchy problem by explaining why the Higgs mass can be near the electroweak scale without excessive fine tuning. In models where *R*-parity is conserved, the lightest supersymmetric particle (LSP) can also provide a candidate for the dark matter observed by astrophysicists. Early LHC data has provided strict limits on the production of generic colored superpartners using final states consisting of jets and missing energy. However, SUSY can still be natural if the superparters of the Higgs, top, and gluon have masses near the electroweak scale. Generic searches may not provide the best limits on these scenarios, so it is necessary to devise new search strategies targeted specifically to the natural scenarios.

<sup>11</sup> This note presents results of a search for scalar top partners produced in *pp* collisions at a <sup>12</sup> center-of-mass energy of  $\sqrt{s} = 8$  TeV. We use events with two opposite-sign high-*p*<sub>T</sub> isolated

13 leptons, and at least two jets with at least one *b*-tagged jet to perform the search. The stransverse

mass variable  $M_{T2}$  [1] is used to separate the stop signal from the Standard Model background,

<sup>15</sup> which consists primarily of  $t\bar{t}$  production:

$$M_{T2}^{2} = \min_{\mathbf{p}_{T1}^{miss} + \mathbf{p}_{T2}^{miss} = \mathbf{p}_{T}^{miss}} \left( \max\left[ m_{T}^{2}(\mathbf{p}_{T}^{\ell 1}, \mathbf{p}_{T1}^{miss}), m_{T}^{2}(\mathbf{p}_{T}^{\ell 2}, \mathbf{p}_{T2}^{miss}) \right] \right)$$
(1)

It can be shown [1] that this definition of  $M_{T2}$  has the same convenient property as the transverse mass: it must be less than the mass of the pair-produced semi-invisbly decaying particle.

In the case of stop searches in the dilepton channel, the primary challenge comes from separat-18 ing SM  $t\bar{t}$  production from the signal, since the composition of the final states is identical except 19 for invisible particles. Assuming that the contribution of the other products X to the  $E_T^{\text{miss}}$  is not 20 large, the assumptions made in the definition of  $M_{T2}$  hold for the lepton- $E_T^{miss}$  system and its 21 value has an upper bound at the W mass. On the other hand, stop pair production events with 22 a dileptonic final state will have at least four invisible particles so long as lepton number and 23 *R*-parity are both conserved. Now there are two invisible particles on each side of the decay, 24 and so the partition of the  $E_T^{\text{miss}}$  into two components no longer has an upper bound at the W 25 mass. 26

The analysis strategy described in the note uses this property of  $M_{T2}$  to define a signal region,  $M_{T2} > M_W$ , which should have a reduced contamination from dileptonic top decays. We estimate the residual contamination of the signal region with SM  $t\bar{t}$  and WW events by normalizing simulated backgrounds in a data-driven way using several different control regions. Finally, we perform a counting experiment in the signal region and interpret the results in terms of several different simplified models (SMS) relevant for third generation or natural supersymmetry.

# 33 2 Selection

# 34 2.1 The CMS detector

The central feature of the CMS apparatus is a superconducting solenoid, 13 m in length and 6 m in diameter, which provides an axial magnetic field of 3.8 T. Within the field volume are several particle detection systems. Charged particle trajectories are measured with silicon pixel and strip trackers, covering  $0 \le \phi < 2\pi$  in azimuth and  $|\eta| < 2.5$  in pseudorapidity, where  $\eta = -\ln[\tan(\theta/2)]$  and  $\theta$  is the polar angle of the trajectory of the particle with respect to the counterclockwise proton beam direction. A lead tungstate crystal electromagnetic calor<sup>41</sup> imeter and a brass/scintillator hadron calorimeter surround the tracking volume, providing <sup>42</sup> energy measurements of electrons and hadronic jets. Muons are identified and measured in <sup>43</sup> gas-ionization detectors embedded in the steel flux return yoke of the solenoid. The CMS de-<sup>44</sup> tector is nearly hermetic, allowing momentum balance measurements in the plane transverse <sup>45</sup> to the beam direction. A two-tier trigger system selects pp collision events of interest for use in <sup>46</sup> physics analyses. A more detailed description of the CMS detector can be found elsewhere [2].

# 47 2.2 Simulated samples

Monte Carlo (MC) simulations of signal and background processes are used to estimate the 48 signal acceptance and provide information on the backgrounds that cannot be extracted di-49 rectly from the data. Event samples for SM processes are generated using the PYTHIA 6.4.22 [3], 50 MADGRAPH 5.1.3.30 [4], MC@NLO [5, 6], or POWHEG [7] MC event generators and the CTEQ6.6 51 parton density functions [8]. The most important background to the analysis is from SM tt 52 events for which we use POWHEG for the "reference" tt sample. The MADGRAPH and MC@NLO 53 generators are used for cross-checks and validations. All SM processes are normalized to cross 54 section calculations at next-to-next-to-leading order (NNLO) when available, otherwise at next-55 to-leading order (NLO) [5, 6, 9–14]. 56

57 For the signal events, the production of top-squark pairs is generated with MADGRAPH, in-

<sup>58</sup> cluding up to two additional partons at the matrix-element level. The decays of the top squarks

<sup>59</sup> are generated with PYTHIA. A grid of signal events is generated as a function of the top squark

<sup>60</sup> and neutralino masses with 25 GeV spacings.

In the MC samples, for both signal and backgrounds, multiple proton-proton interactions in 61 the same or nearby bunch crossings (pileup) are simulated using PYTHIA and superimposed 62 on the hard collision. The simulation of new physics signals is performed using the CMS fast 63 simulation package [15], whereas almost all SM samples are simulated using a GEANT4-based 64 model [16] of the CMS detector. The exceptions are the MADGRAPH tt samples used to study 65 the sensitivity of estimated backgrounds to the details of the generator settings; these samples 66 are processed with the fast simulation. The simulated events are finally reconstructed and 67 analyzed with the same software used to process collision data. 68

## 69 2.3 Object selection

This analysis uses approximatley 20 fb<sup>-1</sup> of 8 TeV collision data collected with dilepton triggers. Events came from one of three triggers: a dielectron trigger requiring two loosely isolated candidates with  $p_{\rm T}$  greater than 17 and 8 GeV, a dimuon trigger requiring two muon candidates with  $p_{\rm T}$  greater than 17 and 8 GeV, or a muon-electron trigger requiring one muon candidate and one loosely isolated electron candidate with  $p_{\rm T}$  greater and 17 and 8 GeV in either permutation.

Electron candidates are required to have at least 20 GeV (10 GeV) of transverse momentum 76 for the leading (lagging) candidate and fall within  $-2.5 < \eta < 2.5$ . We apply a standard veto 77 on conversion electrons. Electron candidates are required to be isolated and to be consistent 78 with the highest- $p_{\rm T}$  collision vertex in the event. Muon candidates are required to have at 79 least 20 GeV (10 GeV) of transverse momentum for the leading (lagging) candidate and fall 80 within  $-2.4 < \eta < 2.4$ . We require the transverse (longitudinal) impact parameter with respect 81 to the primary vertex to be less than 2 (5) mm. Muons must also have high track quality 82 and be isolated. Anti- $k_t$  jets with distance parameter 0.5 are used, built from the particle flow 83 algorithm [17] [18]. Jet candidates are required to have at least 30 GeV of transverse momentum 84 and fall within  $-2.4 < \eta < 2.4$ . Jet are required to pass a loose jet identification, which selects 85



Figure 1: Selected events before (left) and after (right) the  $E_T^{\text{miss}}$  cut on same flavor events. The Drell-Yan contribution is efficiently removed by the  $E_T^{\text{miss}}$  requirement.

only jets with EM and hadronic energy fractions consistent with a real jet. In order to consider
 a jet as *b*-tagged we also require that the jet pass the medium working point of the combined

secondary vertex (CSV) tagging algorithm [19].

## 89 2.4 Event selection

Using the object definitions from Section 2.3, we proceed to define an event selection shown in 90 Table 1. We require at least two oppositely charged e and/or  $\mu$  with an invariant mass larger 91 that 20 GeV. Same-flavor lepton pairs are vetoed if the invariant mass of the leptons is within 25 92 GeV of the Z mass. In the case where both leptons have the same flavor (SF), we additionally 93 ask for at least 40 GeV of  $E_{T}^{miss}$  in order to suppress the SM background from Drell-Yan pairs 94 which pass the invariant mass requirement. We use the  $E_T^{\text{miss}}$  computed by the particle flow 95 algorithm with a standard suite of corrections applied [18]. The effect of this requirement can 96 be seen in Figure 1. To further suppress this and other vector boson backgrounds, we require 97 at least two jets and one of them must be *b*-tagged. 98

# 99 2.5 Pile-up reweighting

<sup>100</sup> The number of pile-up interactions per event affects the analysis in several ways. Pile-up re-<sup>101</sup> duces the probability of identifying the correct primary vertex in the event. It worsens the <sup>102</sup> energy resolution for the selected objects (especially jets and  $E_{\rm T}^{\rm miss}$ ), and makes lepton identifi-<sup>103</sup> cation more difficult by putting additional energy into the isolation cones of lepton candidates.



Figure 2: Number of reconstructed vertices in simulation and data, before reweighting (left) and after (right). Statistical uncertainty on simulation is indicated by the gray shaded band; on data, by black error bars. NB that signal and background Monte Carlo require different reweighting schemes.

<sup>104</sup> It has similar effects at the trigger level. For all these reasons, we reweight the simulation events

to have the same pile-up distribution as in data. Since the LHC ran at 50 ns bunch spacing in

<sup>106</sup> 2012, we are primarily concerned with the effect of in-time pileup. As a result, we determine

the event weights using the Poisson mean for the true number of pileup vertices in the event.

<sup>108</sup> Figure 2 displays the number of reconstructed primary vertices in data and simulation, before

and after the pile-up weights are applied. We apply the full object and event selection for this
 plot. The reweighting procedure results in good agreement between the distribution of number

plot. The reweighting procedure results in good agreement between theof vertices in the simulation with our selected data sample.

# 112 3 Backgrounds

The object selection described in Section 2 rejects most standard model backgrounds; only final states which contain two high- $p_T$  lepton candidates along with two jets with one *b*-tag contribute to the background. The dominant sources of background and their approximate contributions to the selected sample for  $M_{T2} > 80$  GeV are the following:

- 117 *tt***:** 90%
- Drell-Yan: 4%
- *tW*: 4%
- diboson: <1%
- other (fake leptons): 1%

We evaluate the contributions of the Drell-Yan and fake lepton backgrounds in a data-driven way using control samples. The rate of the EW backgrounds is taken from simulation. The remainder is considered as  $t\bar{t}$  and normalized to the control region with  $MT_2 < 80$  GeV.

#### Description of the "*R<sub>out/in</sub>* method" 3.1 125

The analysis makes use of a data-driven Drell-Yan estimation method. We extract the so-called 126 *R*<sub>out/in</sub> parameter, that is defined as the ratio of the events outside the Z-veto, as defined in 2.3, 127

divided by the events falling inside that region: 128

$$R_{out/in} = \frac{N_{DYMC}^{out}}{N_{DYMC}^{in}}$$
(2)

This ratio is then applied to the number of data events falling inside the Z-veto region  $(N_{in}^{l^+l^-})$ 129

to predict the number of expected events in data ouside the Z-veto region.  $N_{in}^{l+l^-}$  can be con-130 taminated by non-DY processes, such as  $t\bar{t}$ , therefore we subtract from  $N_{in}^{l^+l^-}$  the number of

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events with opposite-flavour  $(N_{in}^{e\mu})$ . Since electrons and muons may have different reconstruc-132 tion efficiencies we use the k factors defined in Eq. 3 to account for these. 133

$$k_{ee} = \sqrt{\frac{N_{in}^{e^+e^-}}{N_{in}^{\mu^+\mu^-}}} \quad k_{\mu\mu} = \sqrt{\frac{N_{in}^{\mu^+\mu^-}}{N_{in}^{e^+e^-}}}$$
(3)

Hence the number of events outside the Z-veto window can be measured from data as:

$$N_{out}^{l+l^-,obs} = R_{out/in}^{l^+l^-} (N_{in}^{l^+l^-} - 0.5N_{in}^{e\mu}k_{ll})$$
(4)

where the factor 0.5 is used to account for combinatorics of the  $e\mu$  sample. 135

#### Results using MC based Rout/im 3.1.1 136

The results obtained using this method are summarized in Tab. 2, the results are shown di-137 vided for the two same-flavor channels and for different stages of the selection. The differences 138 between simulation and data driven estimates are coming from the discrepancies already ob-139 served in the  $E_{T}^{\text{miss}}$  and jet distributions between data and MC. 140

#### 3.1.2 Prediction in the opposite-flavor channel 141

The previous method can be simply applied in the  $e\mu$  channel using the mean of the two scale 142 factors, i.e.  $SF_{e\mu} = \sqrt{SF_{ee} \times SF_{\mu\mu}}$ , obtained for the *ee* and  $\mu\mu$  channels. 143

#### Fake lepton estimation 3.2 144

145 Semileptonic top pair events and leptonically decaying W plus jets events can pass the signal selection if one of the jets in the event is misreconstructed as an isolated lepton. To guard 146 against the possibility that the jet to lepton fake rate is not well modelled in the simulation, we 147 perform a data-driven estimate of this probability using the so-called "tight-to-loose" method. 148 As its name suggests, it relies on defining two working points for the muon and electron iden-149 tification and isolation requirements: a tight one, which is the one used in the analysis, and a 150 loose one, which defines the "fakeable object" and differs from the tight one because of relaxed 151

|                         | $\geq$ 2 jets       | $\geq$ 2 jets + $E_{\rm T}^{\rm miss}$ | $\geq$ 2 jets + $E_{\rm T}^{\rm miss}$ + b-tag |
|-------------------------|---------------------|--|--|
| ee                      |                     |  |  |
| DY MC                   | $14877.4 \pm 53.0$  | $2154.7\pm18.0$                        | $236.13\pm 6.08$                               |
| DY data-driven estimate | $15613.0 \pm 75.4$  | $2626.2\pm32.6$                        | $331.56 \pm 14.54$                             |
| R <sub>out/in</sub>     | $0.1417 \pm 0.0005$ | $0.1644 \pm 0.0015$                    | $0.1668 \pm 0.0045$                            |
| SF data/MC              | $1.0494 \pm 0.0063$ | $1.2188 \pm 0.0183$                    | $1.4041 \pm 0.0714$                            |
| μμ                      |                     |  |  |
| DY MC                   | $27049.9 \pm 73.6$  | $4155.2\pm25.2$                        | $415.00\pm7.84$                                |
| DY data-driven estimate | $30217.7 \pm 113.7$ | $5361.6\pm51.6$                        | $663.51 \pm 22.68$                             |
| R <sub>out/in</sub>     | $0.1729 \pm 0.0005$ | $0.2144 \pm 0.0014$                    | $0.2011 \pm 0.0041$                            |
| SF data/MC              | $1.1171 \pm 0.0052$ | $1.2904 \pm 0.0147$                    | $1.5988 \pm 0.0624$                            |
| еµ                      |                     |  |  |
| DY MC                   | $2224.1\pm16.5$     | -                                      | $208.65\pm4.90$                                |
| DY data-driven estimate | $2408.0\pm20.1$     | -                                      | $312.62 \pm 12.42$                             |
| SF data/MC              | $1.0827 \pm 0.0041$ | -                                      | $1.4983 \pm 0.0480$                            |

Table 2: Data-driven Drell-Yan background estimation in the *ee* and  $\mu\mu$ , and  $e\mu$  channels compared with simulation, for several steps of the analysis. NB that no  $E_T^{\text{miss}}$  cut is applied in the  $e\mu$  case.

- lepton isolation cuts. It consists of two steps. In the first one, fake and prompt rates are mea-
- sured from data, in a phase space region enriched with QCD dijet events and  $Z \rightarrow \ell \ell$  events,
- respectively. Both quantities are defined as the fraction of fakeable objects that also pass the
- tight selection. These ratios are parametrized as a function of  $p_T$  and  $\eta$  of the fakeable object.

In the second step, data events are required to pass the loose lepton requirements and the signal selection cuts. From this set of loose-loose dilepton events, the W+jets event yield can be extracted by means of some formulae combining fake and prompt rate.

The muon and electron prompt rates are obtained with a standard tag-and-probe technique applied on data.

- The muon and electron fake rates are extracted from a phase space dominated by QCD dijet events. The cuts defining this control region aim at reducing the contribution from W or Z leptonic decays. Events with W decays are rejected by requiring PF  $E_T^{miss} < 20$  GeV and, only for the muon fake rate, that the W candidate transverse mass be less than 15 GeV. Events with Z decays are discarded by vetoing the Z mass window:  $m_{\mu\mu} \notin [76, 106]$  GeV,  $m_{ee} \notin [60, 120]$ GeV. Events with low-mass dilepton resonances are removed by the additional  $m_{\ell\ell} > 20$  GeV
- 167 requirement.
- <sup>168</sup> The bias introduced by electroweak contaminations from leptons in W+jets and Z+jets events

<sup>169</sup> is removed in two ways. The tight (loose) lepton yields obtained from W+jets and Z+jets sim-

ulated samples are subtracted from data in the numerator (denominator) of the fake rate defi-

nition. Moreover, the residual bias for high  $p_T^{\ell}$  values is avoided by assuming that lepton fake

- <sup>172</sup> rate flattens out for  $p_T^{\ell} > 35$  GeV.
- An additional threshold is introduced on the  $p_T$  of a so-called "*away-side*" jet. It is a jet that is separated by at least  $\Delta R(j_{away}, \ell) > 1.0$  from a loose lepton, which is required to be within a distance  $\Delta R(j_{away}, \ell) < 0.3$  from a so-called "*near-side*" jet. This jet  $p_T$  requirement is motivated by the fact that the energy spectrum of jets misidentified as leptons can be different from the one of real jets. The relative isolation of a loose lepton is a sensitive variable to these differences in jet energy. Hence, by cutting on the away-side jet  $p_T$  (not on the near-side one, to avoid biases), the di-jet control sample from which the fake rate is extracted can be made more similar to the

| after $N_{b-tags} \ge 1$ |   |            |            |                      |  |
|--------------------------|---|------------|------------|----------------------|--|
| channel                  | central value                               | stat.      | syst.      | stat. $\oplus$ syst. |  |
| μμ                       | 642   | $\pm 18\%$ | $\pm 4\%$  | $\pm 18\%$           |  |
| ee                       | 97  | ±27%       | $\pm 15\%$ | ±31%                 |  |
| еµ                       | 643   | $\pm 28\%$ | $\pm 6\%$  | ±29%                 |  |
|                          | after $M_{T2}(\ell \ell) > 110 \text{ GeV}$ |            |            |                      |  |
| channel                  | central value                               | stat.      | syst.      | stat. $\oplus$ syst. |  |
| μμ                       | 1.09  | ±37%       | $\pm 15\%$ | $\pm 40\%$           |  |
| ee                       | 0.89  | $\pm 24\%$ | $\pm 18\%$ | ±30%                 |  |
| еµ                       | 0.91  | ±32%       | ±12%       | $\pm 34\%$           |  |

Table 3: Estimated fake lepton events in the 1 *b*-tag sample and for one representative high- $M_{T2}$  signal regions.

<sup>180</sup> non-prompt background component contributing to the final event yield.

181 The lepton yields, extrapolated from the loose-loose to the tight-tight same-sign dilepton re-

 $_{182}$  gion, obtained with different requirements on the away-side jet  $p_T$  and on the loose lepton

isolation, are compared with the ones extracted from data events containing a tight-tight same-

sign lepton pair, with one lepton passing the quality criteria used in the analysis, the other one

a looser selection. Contaminations from processes different from semileptonic or all-hadronic  $t\bar{t}$  and from W+jets have been estimated from simulation and subtracted from this control sam-

tt and from W+jets have been estimated from simulation and subtracted from this control sample. The threshold on jet  $p_T$  is then chosen as the one giving the best agreement. The results of

this procedure give an  $\eta$  and  $p_{\rm T}$  dependent fake rate for electrons and for muons.

To extract the non-W/Z lepton event yield, the event selection is applied to data, but requiring that both leptons pass the loose lepton quality cuts. Events are weighted by the number of leptons passing or failing the tight requirements. The total yield of fake lepton events is then given by the sum of event weights in the selected final state. Results are shown in Table 3 together with the statistical and systematical uncertainties.

# 194 **3.3** $t\bar{t}$ estimation

After determining the contribution to the control region  $M_{T2} < 80$  GeV from Z events and fake leptons by the previously described methods, and of the rare backgrounds from simulation, we estimate the normalization of the remaining top pair background by subtracting these estimates from the number of data events with  $M_{T2} < 80$  GeV in both data and simulation and then scaling the number of  $t\bar{t}$  events in the simulation to match the data. We obtain a scale factor of 1.007 with negligible statistical uncertainty. The normalization to the control region is displayed in Figure 3 for events passing the full object and event selection.

Signal contamination in the normalization region is handled using the Monte Carlo prediction. The fraction of signal misidentified as *tt* when performing this procedure is tracked for each signal point, and the overprediction of the background is accounted for in the limit-setting procedure. In general the effect is vanishingly small except at low mass when the splitting between the top and LSP mass is equal to the top mass, when the signal contamination can be as high as 10% and the shapes are similar for signal and background. Otherwise, the effect is typically of order one per mille.

One feature of Figure 3 which is not directly related to the background normalization yet bears some discussion is the peak in the  $M_{T2}$  distribution near zero. Since the computation of  $M_{T2}$ involves finding the minimum possible value for each event, solutions with  $M_{T2} = 0$  will



Figure 3:  $M_{T2}(ll)$  distribution, used to obtain the normalization and normalization uncertainty for  $t\bar{t}$  events.

| Bkg.                              | Events | Stat. unc. | Sys. unc. |
|-----------------------------------|--------|------------|-----------|
| $t\bar{t}$                        | 15.2   | 1.6        | +4.3 -1.5 |
| DY + jet(s)                       | 5.9    | 0.8        | +2.9 -1.5 |
| $V\gamma$                         | 1.8    | 0.8        | +3.2 -0.9 |
| single <i>t</i>                   | 2.0    | 0.1        | +0.2 -0.2 |
| VV                                | 0.6    | 0.2        | +0.2 -0.3 |
| other (e.g. <i>W</i> , <i>H</i> ) | 2.7    | 0.2        | +0.6 -0.5 |

Table 4: Background prediction in the signal region  $M_{T2} > 110$  GeV with statistical (MC statistics) and systematic uncertainties.

be kept if found. An example of an event for which  $M_{T2} = 0$  would be one with back-to-back leptons, where the  $E_T^{\text{miss}}$  vector points along one of the lepton vectors. In this case, any partition of the  $E_T^{\text{miss}}$  vector along the lepton-lepton line will result in identically zero  $M_T$  for each side of the system and a total  $M_{T2}$  of zero. Other configurations can also have an  $M_{T2}$  of zero so long as a solution with zero transverse mass along each lepton can be found.

# 217 3.4 Summary

Estimated background yields and their associated uncertainties for  $M_{T2} > 110$  GeV are displayed in Table 4. The mean background expectation for five different  $M_{T2}$  cuts are displayed

in Table 5.

| $M_{T2}$ value | Expected bkg. | Stat. unc. | Sys. unc. |        |
|----------------|---------------|------------|-----------|--------|
| 80             | 1702.4        | 17.2       | +110.6    | -113.1 |
| 90             | 414.0         | 8.2        | +44.0     | -38.8  |
| 100            | 101.5         | 3.9        | +11.5     | -12.7  |
| 110            | 28.2          | 2.0        | +7.1      | -2.4   |
| 120            | 12.9          | 1.2        | +3.4      | -1.4   |

Table 5: Background expectation for five different  $M_{T2}$  cut values.

| Source               | Uncertainty |
|----------------------|-------------|
| $\epsilon$ (trigger) | 1.2%        |
| $\epsilon(\ell)$     | 1.8%        |
| $\ell$ energy scale  | 1.7%        |
| $\epsilon$ (b-tag)   | 5.0%        |
| gen top $p_{\rm T}$  | 0.5%        |
| JES                  | 13.5%       |
| JER                  | 9.3%        |
| unclustered energy   | 7.3%        |
| Total                | 19.1%       |

Table 6: Systematic uncertainties on the background yield in the signal region  $M_{T2} > 110$  GeV by source. In cases where the error is asymmetric the larger of the two errors is displayed. The full asymmetric error is used in the limit calculation.

# 221 4 Systematic uncertainties

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The sensitivity of this analysis is affected both by uncertainties on the background contribution in the signal region as well as on the acceptance and efficiency for the signal models considered.

<sup>224</sup> Here we present studies of the size of the dominant systematic uncertainties.

# 225 4.1 Trigger efficiencies

The method uses events selected by a trigger selection weakly correlated with dilepton trig-226 gers (cross triggers) and counts the number of such events passing and failing the dilepton 227 trigger selection. The MET based datasets were selected as the cross triggers, as they were 228 found to be weakly correlated with dilepton triggers and to have a large enough number of 229 events to keep the statistical uncertainty below 1%. The measured efficiencies were compared 230 to the efficiencies in MC for  $t\bar{t}$  events and the signal sample. In both cases the corresponding 231 pileup corrections were applied. The principle of this method to estimate the inclusive trigger 232 selection efficiency can be described as follow : 233

- Determine a set of triggers (cross triggers) weakly correlated with the dilepton triggers used in the analysis,
- Count the number of events  $N_{Xtrig}$  passing the cross triggers and the  $t\bar{t}$  dilepton events selection,
- Count the number of events  $N_{Xtrig+DILtrig}$  which pass the cross triggers selection, the  $t\bar{t}$  dilepton events selection and the dilepton trigger selection.

The resulting  $p_{\rm T}$ - and  $\eta$ -dependent scale factors and uncertainties are propagated to the final yields. The scale factors range from 0.94 to 1.00 depending on the  $p_{\rm T}$  and  $\eta$  considered, with uncertainties in the range of 0.01–0.03.

# 243 4.2 Lepton identification and isolation efficiencies

Lepton efficiencies are estimated using a tag and probe method, following a simple cut and 244 count based approach (except for the lowest  $p_{\rm T}$  bin, where a fit is used to account for the non 245 negligible background contribution). In order to estimate the efficiency such that the measure-246 ment is uncorrelated from the dilepton trigger efficiency, single lepton triggered data samples 247 are used. Dilepton candidates compatible with the Z mass are assumed to come from the Z 248 bosons and used to estimate the efficiency. The tag and probe leptons are matched requiring 249 opposite charge and an invariant mass in the range 76  $< m_{ll} < 106$  GeV. The definition of 250 "tag" leptons corresponds to the complete isolation and identification used in the analysis. Tag 251 leptons in both channels are selected if they are associated to an HLT lepton. The measured ef-252 ficiencies are compared to the results in MC Drell-Yan (FullSim and FastSim), where the pileup 253 correction is applied to extract the scale factors ( $SF_l = \epsilon_l^{data} / \epsilon_l^{MC}$ ) used to correct the MC pre-254 dictions. The global identification and isolation efficiencies and scale factors are presented in 255 Table ??, both for the full and fast simulation samples. 256

|          | Eff Data              | Eff. FullSim        | SF FullSim            | Eff. FastSim        | SF FastSim            |
|----------|-----------------------|---------------------|-----------------------|---------------------|-----------------------|
| Muon     | $0.9361 {\pm} 0.0001$ | $0.9452{\pm}0.0001$ | $0.9904{\pm}0.0002$   | $0.9715 \pm 0.0001$ | 0.9636±0.0002         |
| Electron | $0.7871 \pm 0.0002$   | $0.8114 \pm 0.0002$ | $0.9701 {\pm} 0.0002$ | $0.8452 \pm 0.0002$ | $0.9312 {\pm} 0.0002$ |

Table 7: Muon and electron identification and isolation efficiencies, measured in data and with the Drell-Yan samples (full and fast simulation). The errors correspond only to the statistical uncertainty.

The systematic uncertainties of the lepton efficiencies are estimated by varying the invariant mass window and the tag lepton selection and reapplying the tag and probe method. The largest variation of the scale factors with respect to their nominal value found is about 0.5%. A total systematic uncertainty of 1% in the scale factor is considered, to account as well for differences between Z and  $t\bar{t}$ -like event kinematics. The resulting scale factors and uncertainties are propagated through to the final yields.

We do not observe any substantial correlation between the value of  $M_{T2}$  and the size of the systematic uncertainty.

# **4.3** *b*-tag efficiency

We use the *b*-tag efficiency scale factors and associated uncertainties obtained from comparison of *b*-enriched control samples in data with the simulated preformance.. We then vary the scale factor in simulation between  $\pm 1\sigma$  from the central value and track the change in the expected background yield in the signal region. NB that separate factors are used for FullSim and FastSim samples.

# <sup>271</sup> 4.4 Jet Resolution Correction for the measurement of $E_{T}^{miss}$

The simulation doesn't model the energy resolution of jets with full accuracy. This subsequently affects the modeling of  $E_{\rm T}^{\rm miss}$  in the simulation. We use a tool that smears the energy of jets in the simulation propagates these smeared jets into the  $E_{\rm T}^{\rm miss}$ . Utilizing a separate set of simulation samples with representative event topologies –  $t\bar{t}$ , DY + jets, etc. – we calculated the effects of this smearing on the two components of the  $E_{\rm T}^{\rm miss}$  vector and the dependence of this smearing on the event's unsmeared  $E_{\rm T}^{\rm miss}$ . We then utilized these calculated results as template smearing functions to generate smeared  $E_{\rm T}^{\rm miss}$  vectors for the  $E_{\rm T}^{\rm miss}$  in our simulation samples.



Figure 4:  $E_T^{\text{miss}}$  distribution in the inclusive dilepton sample before (left) and after (right) correcting the JER in simulation. Note that the modeling of the DY + jets background below 100 GeV is much better post-smearing.

Due to their small contribution in the signal region we did not apply this process to the rare backgrounds.

<sup>281</sup> The results of this smearing are shown in Figure 4.

# <sup>282</sup> 4.5 $E_{\rm T}^{\rm miss}$ uncertainties propagated to $M_{T2}$

The  $E_{\rm T}^{\rm miss}$  measurement is affected by uncertainties on the energy scales and resolutions of all other objects in the event. Of special concern are the uncertainties on jet energy scale, jet energy resolution, and the scale of the unclustered energy in the event. In order to evaluate the effect of these uncertainties we utilized a combination of several prescriptions.

For the lepton and jet energy scales, we varied the objects within systematic uncertainties taken from their respective POGs, propagating the shifted objects back into the  $E_T^{\text{miss}}$  calculation. For the leptons, the shifted objects themselves are also used in the calculation of  $M_{T2}$ .

For the unclustered energy scale and jet energy resolution uncertainties we utilized the separate 290 simulation samples mentioned in Section 4.4. In addition to the information on smeared  $E_{T}^{miss}$ , 291 these samples also contain separate versions of the smeared  $E_T^{\text{miss}}$  where the smearing factors 292 (i.e. magnitude of the smearing) for the jets have been varied within  $\pm 1\sigma$  of the central value 293 and where the energy scale for unclustered PF candidates has been varied within  $\pm 1\sigma$  (10%) of 294 the central value. As with the basic smearing, we calculated template smearing functions with 295 the systematic shifted versions (unclustered energy scale and JER smearing factor) of  $E_{\rm T}^{\rm miss}$ . 296 We propagated all calculated systematic uncertainties on the  $E_T^{\text{miss}}$  measurement to the  $M_{T2}$ 297 measurement by recalculating  $M_{T2}$  for each systematic shift version of the  $E_T^{\text{miss}}$  measurement. 298

# 299 4.6 Other systematics

Since the rare electroweak background yields are estimated from simulation, we varied the normalization of these backgrounds in order to check the effects in case the true cross section is far from the prediction. Even with an extreme variation of 50% of the cross section the change in the background yield was at the one percent level since these backgrounds make up only a

| $M_{T2}$ value | Data | Expected bkg. | Stat. unc. | Sys. unc. |        |
|----------------|------|---------------|------------|-----------|--------|
| 80             | 1784 | 1702.4        | 17.2       | +110.6    | -113.1 |
| 90             | 426  | 414.0         | 8.2        | +44.0     | -38.8  |
| 100            | 106  | 101.5         | 3.9        | +11.5     | -12.7  |
| 110            | 30   | 28.2          | 2.0        | +7.1      | -2.4   |
| 120            | 14   | 12.9          | 1.2        | +3.4      | -1.4   |

Table 8: Data yields and background expectation for five different  $M_{T2}$  cut values.



Figure 5: Full unblinded  $M_{T2}$  distribution with full systematics.

few percent of the total yield. Since the other uncertainties are much larger we do not use this
 uncertainty in the final result.

We also considered the effect of variations of the *W* mass on the background yield. However the current world average uncertainty on the *W* mass is only 15 MeV and the uncertainty on the width is only 42 MeV. Since these uncertainties are much smaller than the  $E_T^{\text{miss}}$  resolution and therefore much smaller than the  $M_{T2}$  resolution we do not use this uncertainty in the final result.

The composite effect of all systematic uncertainties is shown in Figure 3.

# 312 5 Results

Table 8 shows the background predictions of Table 5 along with the observed number of events
in the unblinded signal region in data.

Figure 5 shows the full unblinded  $M_{T2}$  distribution. Figures 6 and 7 show the same distribution with example signal models for  $M_{stop} = 300$  GeV. The agreement between data and the



Figure 6: Full unblinded  $M_{T2}$  distribution with full systematics. For comparison, a T2tt model point with 300 GeV stop and 50 GeV LSP is shown in magenta.

backgound prediction in the signal region is excellent in all cases. Since we observe no excess
over the SM background we proceed to set limits.

# 319 6 Limit setting

The theoretical prediction for the stop cross section used for the limit calculation is shown in Figure 8. The same cross section for stop production is used regardless of the decay mode considered.

The acceptance for each point is derived from the simulation as shown in Figure 9. For each 323 point, we use the cut on  $M_{T2}$  which gives the best expected limit on the signal strength given 324 the yields in simulation. Cut values between 80 and 140 GeV were tested in steps on 10 GeV, but 325 for T2tt, cuts above 120 GeV never give the best limit due to very small signal efficiency. Lower 326 cuts (80 to 90 GeV) give some sensitivity in the region where the top decay is off-shell, as shown 327 in Figure 10. The same procedure for establishing the systematic uncertainty in the background 328 yield is used at each signal point to derive a systematic uncertainty on the signal acceptance at 329 that point. In addition to the uncertainties on background we include an additional term for 330 the uncertainty in the stop cross section at NLO as computed by the LPCC SUSY cross section 33. working group. 332

Expected limits for the T2tt SMS in the (stop mass, neutralino mass) plane are shown in Figure 11. (NB that these limits are computed with the asymptotic setting of the Higgs Combine tool for now, we will do the full computation once things are more stable.)



Figure 7: Full unblinded  $M_{T2}$  distribution with full systematics. For comparison, a T2bw model point with 300 GeV stop, 50 GeV LSP, and 190 GeV chargino is shown in magenta.



Figure 8: Stop cross section as a function of mass as used in the limit setting. The blue band indicates the systematic uncertainty on the stop cross section.



Figure 9: Signal yield of the selection for the T2tt SMS with a cut of 110 GeV on  $M_{T2}$  for the integrated luminosity used in this analysis. The diagonal feature that intersects the *x*-axis at the top mass corresponds to model points for which the mass splitting between the stop and  $\chi^0$  is equal to the top mass. Points above the line therefore have off-shell top decays.



Figure 10: Output of the optimiztion of  $M_{T2}$  cut for the T2tt signal model. The dotted line indicates the model points for which the mass splitting between the stop and  $\chi^0$  is equal to the top mass. The *z*-axis shows which cut value gives the best expected limit on the signal strength. Points inaccessible for this analysis strategy are left uncolored.



Figure 11: 95% CL expected limits for the T2tt SMS in the stop mass, neutralino mass plane.

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# <sup>385</sup> A Examination of data events with high $M_{T2}$

We chose three events in data with  $M_{T2}$  greater than 190 GeV to examine in detail, as a crosscheck in case the large observed value was due to unexpected detector effects or reconstruction failures. NB that the number of high  $M_{T2}$  events is predicted with good accuracy by the simulation, so we expect to find mostly genuine physics events where well-understood detector acceptance or resolution effects have introduced spuriously high  $E_{T}^{miss}$  values.

The first event is shown in Figure 12. The  $M_{T2}$  for this event is 190 GeV. It is a  $\mu\mu$  event containing six jets above 50 GeV. The large value of  $M_{T2}$  comes from the  $E_T^{\text{miss}}$  pointing opposite to the high  $p_T \mu\mu$  system. The mass of the dilepton system is 43 GeV, the  $E_T^{\text{miss}}$  is 140 GeV, and the angle between them is 2.7 radians. The  $E_T^{\text{miss}}$  points near a high (180 GeV)  $p_T$  jet which is almost perfectly back-to-back with the dilepton system. One possible explanation for this event is that this recoiling jet is badly mismeasured. Close inspection of the objects in the event did not reveal any irregularities.



Figure 13: High- $M_{T2} \mu \mu$  event number 2.



Figure 14: High- $M_{T2}$  ee event number 3.

- The second event is shown in Figure 13. The  $M_{T2}$  for this event is also 190 GeV. It is a  $\mu\mu$  event 398
- with three jets above 50 GeV. Again, the  $E_T^{\text{miss}}$  points opposite to the high  $p_T \mu \mu$  system. The mass of the dilepton system is 75 GeV, falling just outside the Z veto window which starts at 399
- 400
- 76 GeV. The  $E_T^{\text{miss}}$  is 100 GeV and the angle between the leptons and the  $E_T^{\text{miss}}$  is 2.9 radians. 401
- Likely this is a  $Z \rightarrow \mu\mu$  event where the hadronic recoil is mismeasured. 402
- The third event is shown in Figure 14. It is an *ee* event, but the electrons (in cyan) are not easily 403
- seen due to the large multiplicity of high  $p_{\rm T}$  particles in this event. The  $M_{T2}$  for this event is 404
- about 270 GeV, a remarkable value. The event had an extremely large amount of activity with 405
- seven jets above 50 GeV. The invariant mass of the electron pair is 106.3 GeV, falling just above 406
- the Z veto window which ends at 106 GeV. The  $E_T^{\text{miss}}$  is aligned near two of the high  $p_T$  recoiling 407
- jets, so it is likely that this is a  $Z \rightarrow ee$  event where the hadronic recoil is mismeasured. 408
- NB that all three events are same-flavor as anticipated by the simulation, as high-multiplicity 409
- Z + X events contribute to the tails much more in same-flavor channels. 410

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